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FACSIMILE TRANSMITTAL SHEET

TO:	FROM:
Examiner: Robinson Boyce, Akiba K	Chris Vo
COMPANY:	DATE:
United States Patent & Trademark Office	7/23/2004
FAX NUMBER:	TOTAL NO. OF PAGES INCLUDING COVER:
(703) 746-7238	11
PHONE NUMBER:	OUR REFERENCE NUMBER:
(703) 305-1340	(650) 325 4999
RE:	OUR FAX NUMBER:
Amendment Filed 7/23/2004	(650) 325 1203

OFFICIAL

☒ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

NOTES/COMMENTS:

In re Application of:
Fernandez, et al.
Application No.: 09/145,167
Filed: 09/01/1998
For: "Adaptive Direct Transaction For Networked Client Group"
Art Unit: 3623

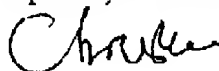
Dear Examiner Robinson Boyce:

For your convenience, please find the following copy of the Amendment filed via U.S. Express Mail on 07/23/2004, filed in response to your Office Action dated 07/13/2004.

Dennis S. Fernandez, the inventor would like to have a teleconference regarding to this amendment. Our Administrative Assistant will call you for scheduling an appointment.

Should you have any questions or concerns, I can be reached by phone at (650) 325-4999 or via facsimile at (650) 325-1203. Thank you.

Respectfully submitted,



Chris Vo
Legal Assistant

1047 EL CAMINO REAL, SUITE 201, MENLO PARK, CA 94025 ☎ (650) 529-9237 ☎ FAX (650) 529-9315 ✉ IPLOFT@IPLOFT.COM

Best Available Copy

Certificate of Mailing By "U.S. Express Mail" Under 37 C.F.R. 1.10(c)	
"EXPRESS MAIL" Mailing Label Number: <u>EV 389014647 US</u>	Date of Deposit: <u>7/23/04</u>
I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Name: <u>Chris Vo</u>	<u>7/23/04</u>
Signature	Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Fernandez, et al.

Attorney Docket No.: FERN-P004

Serial No.: 09/145,167

Examiner: Robinson Boyce, Akiba K

Filed: 09/01/1998

Art Unit: 3623

For: Adaptive Direct Transaction For Network Client Group

Mail Stop Amendment

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL LETTER

Dear Sir:

1. **TRANSMITTED DOCUMENTS:** the following documents relating to the above-identified patent application are being transmitted herewith.

- ☒ a. An Amendment for this application: 8 pages.
☐ b. Substituted Formal Drawings: sheets.
☐ c. A Petition For Extension of Time For Response under 37 CFR 1.136(a) incorporated herein.
☐ d. An Information Disclosure Statement under 37 CFR 1.97(b) ☒ 1.97(c)
☒ e. A stamped, self-addressed, return postcard.
☐ f. A Check () for \$ to cover required fees of this correspondence.

2. **APPLICANT FILING STATUS:**

- ☐ a. Applicant is a Large Entity.
☒ b. Applicant is a Small Entity.

3. **EXTENSION OF TIME:**

- ☐ a. Applicant petitions for an extension of time under 37 C.F.R. 1.136 for the total number of months checked below (fees pursuant to 37 C.F.R. 1.17(a)-(d)).

<u>Extension of Time</u>	<u>Large Entity Fee</u>	<u>Small Entity Fee</u>
i. One (1) month .	<u> </u> \$ 110.00	<u> </u> \$ 55.00
ii. Two (2) month .	<u> </u> \$ 410.00	<u> </u> \$ 205.00
iii. Three (3) month .	<u> </u> \$ 930.00	<u> </u> \$ 465.00
iv. Four (4) month .	<u> </u> \$ 1,450.00	<u> </u> \$ 725.00
v. Five (5) month .	<u> </u> \$ 1,970.00	<u> </u> \$ 985.00

Extension Time Fee Total: .00

- ☒ b. Applicants believe that no extension of time is required. However, this conditional petition is being made in case Applicants have inadvertently overlooked the need for a petition for extension of time.

DOCKETED
DATE 7/23/04 *W*

4. FEE CALCULATION:

The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

Fee Items	Claims Remaining After Amendment	Highest Number of Claims Previously Paid	Present Extra Claims	Fee Rate	Total
a. Total Claims	20	- 20 =	0	x \$ 18.00 Large Entity x \$ 9.00 Small Entity	\$.00
b. Independent Claims	3	- 3 =	0	x \$ 84.00 Large Entity x \$ 42.00 Small Entity	\$.00
c. Multiple Dependent Claims Added By This Amendment				x 280.00 Large Entity x 140.00 Small Entity	
d. Extension of Time Fee Total, if any, from above EXTENSION OF TIME section 3a.					\$.00
e. Additional Fees Required With This Correspondence					
f. 1.17 (p) Fee for Information Disclosure under 1.97(c)					\$.00
g. Total Fees					\$.00

5. PAYMENT OF FEES

The full fee due in connection with this communication is provided as follows:

_____ The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to Deposit Account No: 500482. A duplicate copy of this authorization is enclosed.

_____ A Check # _____ for \$ _____ for the above specified Total Fee is enclosed. However, should Applicants inadvertently miscalculated the required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to Deposit Account No: 500482.

☒ Applicants do not believe that any payment of fee is needed in association with this communication. However, should Applicants inadvertently miscalculated the required fee, the Commissioner is hereby authorized to charge any necessary amount associated with this communication or credit any overpayment to Deposit Account No: 500482.

Please direct all correspondence concerning the above-identified application to the following address:

FERNANDEZ AND ASSOCIATES, LLP

Patent Attorneys

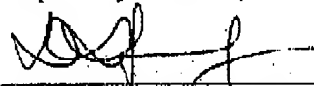
P.O. BOX D

Menlo Park, CA 94026-6204

Phone: (650) 325-4999

Fax: (650) 325-1203

Respectfully submitted,



DENNIS S. FERNANDEZ
Registration No: 34,160

7/23/04

Date

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IN UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Fernandez, *et al.* Attorney Docket No.: FERN-P004
Serial No.: 09/145,167 Group Art Unit: 3623
Filed: 9/1/1998 Examiner: Robinson Boyce, A.
Title: Adaptive Direct Transaction For Network Client Group

AMENDMENT

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to final official action dated 07/13/2004, please amend this application:

Amendments to Claims begin on page 2.

Remarks begin on page 8.